#### INCOMPLETE RECEPTION

TX/RX NO

6975

RECIPIENT ADDRESS DESTINATION ID

ST. TIME

07/03 14:15

##0200

TIME USE PGS.

24'53 23

RESULT

NG

JLT .

Nixon Peabody LLP

Attorneys at Law

Suite 900 401 9th Street, N.W. Washington, D.C. 20004-2128 (202) 585-8000

Fax: (202) 585-8080

PRIVILEGE AND CONFIDENTIALITY NOTICE

The information in this fax is intended for the named recipients only. It contains privileged and confidential matter. If you have received this fax in error, please notify us immediately by a collect telephone call to (202) 585-8000 and return the original to the sender by mail. We will reimburse you for postage. Do not disclose the contents to anyone. Thank you.

FAX

Pages (including cover): 7

Fax: 571-273-8300

Ph:

To:

Date:

Mail Stop Amendment

July 3, 2007

Jeffrey L. Costellia, Reg. No. 35,483

Docket No. 740756-2832

Message:

From:

The following documents are being presented for facsimile filing in the United States Patent and Trademark Office:

Request for Reconsideration; 1.

Fee Transmittal Form; 2.

Information Disclosure Statement; and 3.

Form PTO-1449 citing 1 reference.

In re Patent Application of

Inventor(s): Shunpei YAMAZAKI et al.

Application No. 11/085,077

Filed: March 22, 2005 For: METHOD FOR MANUFACTURING AN ELECTRO-OPTICAL DEVICE

Due Date: July 3, 2007

Docket Number: 740756-2832

JLC/aj

# CERTIFICATE OF FACSIMILE TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being facsimile transmitted to the USPTO at 571-273-0025 on July 3, 2007.

Signature: Name:

NO.0630<sup>---</sup>P. 2/7

Attorney Docket No. 740756-2832

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop 313(c), Commissioner for Patents, Washington, D.C. 20231-1450, or being facsimile transmitted to the USPTO at 571-273-8340, on July 3, 2007.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:	)	
Shunpei YAMAZAKI, et al.	)	Group Art Unit: 2822
Application No. 11/085,077	)	Examiner: Tsz K. Chiu
Filed: March 22, 2005	)	Confirmation No. 5574
For: METHOD FOR MANUFACTURING AN ELECTRO-OPTICAL DEVICE	)	

#### REQUEST FOR RECONSIDERATION

United States Patent and Trademark Office Customer Service Window, Mail Stop Amendment Randolph Building 401 Dulany Street Alexandria, VA 22314

Sir:

In response to the Office Action mailed April 3, 2007, please review the above-identified patent application in view of the following remarks. Claims 1-5, 7-12 and 14 were pending in the present application prior to the above amendment and are believed to be in proper condition for allowance.

Referring now to the Office Action, claims 1, 3, 5, 8, 10 and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ozawa (6,618,029) in vie of Ono et al. (5,668,379). Claims 2, 4, 7, 9, 11, and 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ozawa in view of Hiroki et al. (5,218,464).

Applicants note that independent claims 1, 3, 5, 8, 10 and 12 recite that "a first insulating film comprising an organic resin over the thin film transistor" and "a second insulating film formed over the first insulating film, the second insulating film comprising at least one selected from the group consisting of silicon nitride, aluminum oxide, aluminum nitride, nitrated aluminum oxide and diamond like carbon". By forming a first

10637158,2

Application No. 11/085,077 Docket No. 740756-2832

insulating film comprising an organic resin over the thin film transistor, the step due to the TFT can be leveled, and further, by forming a second insulating film comprising at least one selected from the group consisting of silicon nitride, aluminum oxide, aluminum nitride, nitrated aluminum oxide and diamond like carbon over the first insulating film, alkaline metals diffused from the EL element can be blocked and the second insulating film functions as a heat radiating layer. These elements are supported at least in the specification at page 17, line 22 to page 19, line 5.

The Examiner states that Ozawa discloses a first insulating film comprising an organic resin and a second insulating film comprising at least one selected from the group consisting of silicon nitride, aluminum oxide, aluminum nitride, nitrated aluminum oxide and diamond like carbon. However, it appears that Ozawa fails to teach these features. Neither Ono nor Hiroki remedies the deficiencies of Ozawa.

Therefore, Ozawa and Ono, alone or in combination, fail to teach or suggest all the elements of Applicants' claimed invention, and, even when Ozawa and Ono are combined, Applicants' claimed invention cannot be obtained. Applicants request that the claim rejections under 35 U.S.C. 103(a) be withdrawn. Dependent claims 2, 4, 7, 9, 11, and 14 depend from independent claims 1, 3, 5, 8, 10, and 12 are patentable at least for the above reasons. Applicants request that the claims rejections under 35 U.S.C. 103(a) for these claims also be withdrawn.

In view of the foregoing, it is submitted that the present application is in condition for allowance and a notice to that effect is respectfully requested. However, if any issue remains after considering this response, the Examiner is invited to call the undersigned to expedite the prosecution and work out any such issue by telephone.

Respectfully submitted,

Date:

July 3, 2007

Jeffrey L. Costellia Registration No. 35,483

NIXON PEABODY LLP Customer No. 22204 401 9<sup>th</sup> Street, N.W., Suite 900 Washington, DC 20004-2128 (202) 585-8000 (202) 585-8080 fax

_JUL. 3. 2007_ 4	:12PM 86	56 741 0075 <sup>—</sup>			<u> </u>	NO. 063	30P.	4/7:-
	fiective on 12/08/2004.				Complete	if Known		
Pees pursuant to the Con-	solidated Appropriations		Application	n Number ·	11/085,077			
	RANSMIT		Filing Date	,	March 22, 200:	5		
FO	OR FY 200	5	First Name		Shunpei YAM			
	nall entity status. See	37 CFR 1.27	Examiner 7	Name .	Tsz K. Chiu			
TOTAL AMOUNT OF	PAYMENT	(\$) 180.00	Art Unit		2822			
			Attorney D	ocket No.	740756-2832		_	
METHOD OF PAY	MENT (check a	il that apply)						
	edit Card		None F	1 Other (-1	- 14. 415.X			
Deposit Accoun	t Deposit Account	Number: 19-2380	140the E		nt Name:			
For the above	-identified deposi		ector is here	by authorized	to: (check all t	hat applied	_	
☐ Charge fe	e(s) indicated bel	ow		_	ge fee(s) indicate		nama fau	4h a #11 #1
⊠ Charge as	y additional fee(s	s) or underpayme:	nts of fee(s)	☐ Credi	t any overpaym	ica oelow, exc ients	ept tor	the fullng fee
under 37 WARNING: Informatic and authorization on PT	CFR 1.16 and 1.1		lit card inform				eredis an	and laste
FEE CALCULATION						1101111	, crear ca	re miormation
	G, SEARCH AN	DEXAMINATIO	ON FEEC					
		NG FEES		CH FEES	EY AMINIA	TION FEES		
•		Small Entity		Small Entity		Small Entity		
Application Ty	pe Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)		es Paid (\$)
Utility	300	150	500	250	200	100	-	
Design	200	100	100	50	130	65		
Plant	200	100	300	150	160	80		<del></del>
Reissue	300	150	500	250	600	300		
Provisional	200	100	0	0	0	0		
2. EXCESS CLAI Fee Description	M FEES					_		Small Entity
Each claim over 20 or	, for Reissues, ea	ch claim over 20	and more th	an in the origin	nal patent		Fce (\$) 50	Fee (5)
each mochenoent clai	um over 3 or, for 1	teissues, each ind	lependent cl	aim more than	in the original	patent	200	25 100
Multiple document cla <u>Total Claims</u>	aims <u>Extra Cl</u> ain		<u>c (\$)</u>				360	180
		x	<u> </u>	Fec Paid (5)	Multiple Fee (S	Dependent Clai		
AP = highest number of to	tal claims paid for, if [	greater than 20				<u>Fee Paid</u>	7.757	
Indep. Claims	Extra Claim	<u>Fer</u>	e (\$)	Fee Paid (\$)	L		<del></del> .	
HP == highest number of in	dependent claims paid	for, if greater than 3	=					
3. APPLICATION	SIZE FEE	amagad 100 show						
for	ion and drawings each additional 50	sheets or fraction	rs of paper, to n thereof. S	the application see 35 U.S.C. 4	Size fee due is	\$250 (\$125 fc	or small	entity)
A GIAT THICE IS	Extra Shee	ets <u>Nu</u> / 50 ==	ımber of each	additional 50 or l	fraction thereof	Fee (\$)		Fee Paid (\$)
. OTHER FEE(S)			,	romin ab to a whó	· ·	х		
Non-English Spec	ification, \$130 fe	e (no small entity	discount)					Fees Paid (\$)
Other: <u>Informati</u>	•							190.60
UBMITTED BY	7/17	7-11/11						180.60
ignature			Registration N		Tclepho	ne 202 585 80	000	
ame (Print/Type) Jeff	it Conteiling		(Attorney/Ager			- 202 303 00		
Jen	TO IN CONCUME				Date	July 3, 2007		

SEND TO: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 JUL. 3. 2007 4:12PM NO. 0630----P. 5/7.

Attorney Docket No. 740756-2832

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop 313(c), Commissioner for Patents, Washington, D.C. 20231-1450, or being facsimile transmitted to the USPTO at 571-273-8300, on July 3, 2007.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Shunpei YAMAZAKI, et al. Group Art Unit: 2822 Application No. 11/085,077 Examiner: Tsz K. Chiu Filed: March 22, 2005 Confirmation No. 5574 For: METHOD FOR MANUFACTURING AN ELECTRO-OPTICAL DEVICE

# INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office Customer Service Window, Mail Stop Amendment Randolph Building 401 Dulany Street Alexandria, VA 22314

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge the Deposit Account No. 19-2380 in the amount of \$180 to comply with the provisions of 37 C.F.R. § 1.97(c).

Bv:

Respectfully submitted,

July 3, 2007

gistration No. 35,483

NIXON PEABODY LLP Customer No. 22204 401 9th Street, N.W., Suite 900 Washington, DC 20004-2128 (202) 585-8000 (202) 585-8080 fax

Substit	ute for form	1449A/PTO						Complete if K	nown	
INF	ORMA	TION DISC	LOSTII	o Tr	Application Numb	er			/085,077	
		NT BY APP			Filing Date				arch 22, 2005	
318				4 T	First Named Inven	tor			unpei YAMAZA	CI et al
	(Me at	many sheets as necessi	(ציש		Art Unit			28	22	a or ai.
					Examiner Name				z K. Chiu	
Sheet	1	or	1		Attorney Docket N	umber			0756-2832	_
						_			0730-2632	
Paradia s	1	U.S. Patent Do		T 0	.S. PATENT DOC	CUMENT	rs T			
Examiger Initials	Cite No.	Number - Kind Code			Publication Date MM-DD-YYYY		Арр	Name of Patentes or licant of Ched Docum	Pages, Columns, Relevant Passay Figures A	es or Relevant
		US-6,359,60	6 B1	03-1	9-2002		YU	DASAKA		
	_									
				ļ.,						
	<del></del>									
	<del>-</del>	<del> </del>					<u> </u> -			
	<del>-  </del>	<b>-</b>					<del> </del>			
				FOR	EIGN PATENT D	OCUME	NTS			
Examiner Initials	Cite No.1	Foreign Patent	Kind Co		Publication Date MM-DD-YYYY	Appli	Name o: cation o	f Parcetoc or f Cited Document	Pages. Columns, Lines, Wh Relevant Passages or Relev Figures Appear	ere BM T <sup>6</sup>
	<del>- </del>			_						
			_							
						<u> </u>				
	<del></del>			-						
						+				
			FILED BOX							
Examiner	A1:				-NON PATENT L					
lnitials	Cite No. <sup>1</sup>	Include item (boo	name of the s ok, magazine		n CAPITAL LETTER, serial, symposium, o ublisher, çity and/or o				riute), title of the -issue munber(s),	T <sup>c</sup>
		-								
										_
	<del>                                     </del>					_ <del>_</del> -				
Examiner Signature						Date				

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MP2P 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must procede the serial number of the patent document. <sup>3</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

RECEPTION OK

TX/RX NO

6976

RECIPIENT ADDRESS

866 741 0075

DESTINATION ID

ST. TIME

07/03 14:47

TIME USE PGS.

01'12 7

RESULT

OK

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop Petitions; Commissioner for Patents, Washington, D.C. 20231-1450, or being facsimile transmitted to the USPTO at 571-273-0025, on July 3, 2007.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT Application of:	)	
Shunpei YAMAZAKI et al.	)	Group Unit: 2812
Serial No. 10/237,172	)	Examiner: Stanetta D. Issac
Filed: September 9, 2002	)	Confirmation No. 4413
For: Laser apparatus, laser irradiation	)	
METHOD, SEMICONDUCTOR MANUFACTURING	)	
METHOD, SEMICONDUCTOR	)	

# PETITION FOR WITHDRAWAL FROM ISSUE TO PERMIT CONSIDERATION OF INFORMATION DISCLOSURE STATEMENT UNDER SECTION 1.97 IN A CONTINUING APPLICATION

Mail Stop 313(c)
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants hereby petition for the withdrawal of this application from issue. The issue fee for this case was paid on January 17, 2007.

The reason for withdrawal from issue of this application is for consideration of information in a continuing application. The continuing application is filed as a Request for Continued Prosecution Application (RCE). The RCE, which is entitled to priority under 35 U.S.C. 120 from this application, is being filed on the same date as this petition and accompanies this petition.

JUL: 3. 2007 4:22PM 866 741 0075

Docket No. 740756-2530 Serial No. 10/237,172

The Commissioner is authorized to charge the Petition Fee of \$130.00 or any deficiencies (except the issue fee) or credit any overpayment to Deposit Account No. 19-2380 (740756-2530). A duplicate copy of this sheet is attached.

Respectfully submitted,

effrey L. Costellia

Registration No. 35,483

NIXON PEABODY LLP 401 9<sup>TH</sup> STREET, N.W., SUITE 900 Washington, D.C. 20004 (202) 585-8000 (202) 585-8080 (Fax)

REQUEST
FOR
CONTINUED EXAMINATION (RCE)
TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Application Number	10/237,172
Filing Date	September 9, 2002
First Named Inventor	Shunpei YAMAZAKI et al.
Group Art Unit	2812
Examiner Name	Stanetta D. Isaac
Attorney Docket Number	740756-2530

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PfO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1.	S	ubmi	sion r	equi	ired	unde	x 37	/ C.I	f.R. (	§ 1.	114																						
	a.		Previ																														
		i.		Cox (Am	onsid w wn	ler th	टे शा स्ट्रीश	nend mend	lment iment	t(s)/ t(s) n	repl	ly u red to	nder o abo	37 C ve wi	.F.R	. § l anter	.11 ed).	б рг	revi	lou	sły	fi.	led	on			•				-		
		ji.							nents										)TČ\	vioi	usl	ly I	lile	d or	·								_
l		III.						_							_			-															
	ь.	×	Encl	osed	xd																												
	•	i.	×	Pcti	titio	n to T	Vid	sdra v	orl w	m I	ssue	e			jv.		3	\$u	bт	isai	ίσπ	ı o	۲F۵	m	al D	raw	ing	3					
		ii.		Aff	ffida	vit(s)	/Do	clara	ation	(8)					٧.		١.	Pet	titic	on f	for	0	nc-	Mo	nth	Ext	ansi	on e	r Ti	mė			
		üi.	×	Info	form	ation	Dia	sclos	sure S	Star	eme	ent (	IDS)																				
2.		/iscel	ancou	13	7																												
	a.		Susp	ensi	sion :																									oinsq	d of		
l		_				_ m	nth:	s. (P	Period	l of s	nispe	ensic	on aha	ll not	exce	ed 3	mo	nths;	Fe	e ui	nde	er 3	7 C	F.R	L 6 1	1.17(	I) re	qui	red)				
	ь.	Ш	Otho	_											<del>-</del>									_									
3.	F	ees							.R. §		• •		•	-		_																	
	8.	×	The												llowi	ng f	ècs	, ad	điti	iona	al 1	fee	z v	hic	h m	ay t	Ç TÇ	zqui	red,	OL C	redit :	my	
i		i.					-	•	nder																								
1		ii.		Ext	xtçnış	rion (	ftir	ne f	èe (3	17 C	F.R	₹ \$	1.13	36 ar	d 1.	17)																	,
		iii.	×	Oth	ther	<u>Peziti</u>	لمو	Fec_																									
1	b.	×	The	Dirc	recto	r is a	uthc	orize	d to	cha	rge I	Dep	osit .	Acco	unt i	¥ 19	-23	80 i	n d	he a	ш	ióu	ınt (	2 Io	920	.00							
	c.		Раут	nent	ıt by	cred	it ca	rd (I	Porm.	PTO	<b>)-203</b>	38 e	nclose	ed)																			
$\overline{\Box}$		-				S	IG	1AT	URE	E O	F A	PPI	LICA	INT,	, AT	TOI	RN	EY,	0)	R A	/C	E	NT	RE	QU	IRI	ED						
7	dam	e (Pri	и/Тур	e)	Je	ffre	уI	C	oste	ellia	a					Re	gis	trati	ιοπ	No	). <i>(</i>	At	ton	ney/	Age	nt)		3	5,4	83			
S	ign	ature														Di	ite		J	ul	y :	3,	20	07									
									CEJ	RTI	IFIC	CAT	re o		AIL CFI				R.	N:	SN	<b>41</b> 5	SSI	ON	1								
ina	n en	certii velop e tran	addr	<b>ess</b> co	ed to	: Mu	il S	top l	Petiti	ions	s, Co	omn	nissio	ncr	for P	nite	d Si	ates P.O	5 Pc	oste ox	ป 5	Sea 50	vic , A	e w lexa	ith :	suff. ia, '	icie: Virg	nt p ini	osta 223	ge fo 313-1	r firs 1450,	class or be	s mail ing
	ign	sture:				50	'n	Ne	le	0	了	6	De	4	Æ		_	_															
ı	dam	c:			P	ame	la Ì	L. P	atri	ck	•																						
																												٠					

SEND TO: Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Application No. 10/237,172 Docket No. 740756-2530

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop Petitions Commissioner for Patents, Wachington, D.C. 20231-1450, or being facsimile transmitted to the USPTO at 571-273-0025, on July 3,2007.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Pa	tent Application of:	)	
Shunpei	i YAMAZAKI	)	Group Art Unit: 2812
Applica	tion No. 10/237,172	)	Examiner: Stanetta D. Isaac
Filed: S	September 9, 2002	)	Confirmation No. 4413
M M	ASER APPARATUS, LASER IRRADIATION IETHOD, SEMICONDUCTOR MANUFACTURING IETHODS, SEMICONDUCTOR DEVICE, AND LECTRONIC EQUIPMENT	)	

#### INFORMATION DISCLOSURE STATEMENT

Mail Stop Petitions

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The reference noted on the attached PTO-1449 was previously cited with the Information Disclosure Statement filed December 12, 2006 but not considered by the Examiner. Therefore, in view of the above applications' July 10, 2007 issue date, Applicants resubmit the Information Disclosure Statement accompanied by a Petition to Withdraw from Issue and a Request for Continued Examination.

In addition to the above, Applicants wish to note that reference JP-55-150238 noted on the attached PTO-1449 was cited in the counterpart Japanese Patent Application and mailed to applicants on September 12, 2006. Specifically, the reference noted herein was previously cited by Applicant on October 1, 2006 with an English Abstract. A full English translation is now provided.

-2-

Application No. 10/237,172 Docket No. 740756-2530

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initial a copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

By:

Respectfully submitted,

Date: July 3, 2007

Jeffrey L. Costellia

Registration No. 35,483

NIXON PEABODY LLP Suite 900 401 9<sup>th</sup> Street, N.W. Washington, DC 20004-2128 Telephone: (202) 585-8000

Substitu	ne for form	1449A/PTO					Complete	if Kuon		
		TION DISC	LOCYDE	Application Nu	mber			10/23		
				Filing Date	inou		<del></del>		mber 9, 2002	
STA	TEME	NT BY APP	LICANT	First Named Im	/Antor				ei YAMAZAKI	
	(use as	many sheets as necessi	(ירעב	Art Unit	OTHOL			2812	EI I AWAZAKI	
				Examiner Name					ta D. Isaac	
Sheet	1	10	1	Attorney Docke				,		
				Audiley Dock	i Munitori			74075	6-2530	
				U.S. PATENT D	OCUMENT	ГS				
Examiner	Che	U.S. Patent I	Document						1	
Inhials	No.L	Number - Kind Code	((f known)	Publication MM-DD-Y)	Date (YY		Name of Pages Icant of Cited		Pages, Coluntas, Line Reievant Passages or Figures Appe	Relevant
		-				ļ				
				_	·	-				
	<del>-</del>	<del></del>				<del>                                     </del>				
				<del></del> -		-				
						├─	<del> </del>			
				<del></del>		-				
				<del></del>		<del> </del>			· · · · · · · · · · · · · · · · · · ·	•
						-	<del></del>			
						<del>                                     </del>	·		· · · · · · · · · · · · · · · · · · ·	
•					-				<del></del>	
	<del>                                     </del>		-							
					-	<del> </del>				
		В		· · · · · ·		<del>-</del> -		<del></del>		
		·						<del></del>	<u></u>	
			FC	REIGN PATENT	DOCUME	ENTS				
Examiner Initials	Cite	Foreign Patent	Document					Pas	et. Columns, Lines, Where	
Ditti Lais	No.1	Country Code <sup>3</sup> Number	Kind Code z" (if known)	Publication Date MM-DD-YYYY			Polemoe or Cited Docum	Re	Figures Appear	T
		JP-55-150238		11-22-1980						FUL
						-				1.023
<del></del>						•				<del>                                     </del>
										1
	<u> </u>	<u> </u>								
		0	THER PRIOR A	rt – non paten	T LITERATI	ure d	OCUMENT	S		
Examiner Initials	Cite No.1	Include item (bo	name of the authoris, jou	or (in CAPITAL LET mal, serial, symposius publisher, city and/	m, catalog, etc	:.) dab	c. Dage(s). W	ppropriate olume-issu	title of the number(s),	T <sup>d</sup>
								•		
Examiner								_		
Signature					Date Conside	<del>cr</del> od			<del>-</del>	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant,

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>1</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

(19) Japan Patent Office (JP)

(11) Publication of Patent Application

(12) Patent Laid-Open Official Gazette (A) S55-150238

(51) Int.Cl.<sup>3</sup>

ID No.: JPO Ref. No. (43) Date of Pub.: Nov. 22, 1980

H 01 L 21/324

6684-5F

5

21/26

6684-5F

Number of Inventions: 1

Request for Examination: Not made

(3 pages in total)

#### (54) LASER BEAM IRRADIATION METHOD

10

(21) Application No.: S54-57288

(22) Date of Filing: May 10, 1979

(72) Inventor: Haruhide FUSE

c/o Matsushita Electric Industrial Co., Ltd.

15

1006 Oaza Kadoma, Kadoma-shi

(71) Applicant: Matsushita Electric Industrial Co., Ltd.

1006 Oaza Kadoma, Kadoma-shi

(74) Agents: Toshio NAKAO, Patent Attorney, and one other Patent Attorney

20

#### **SPECIFICATION**

#### 1. Title of the Invention

#### LASER BEAM IRRADIATION METHOD

25

#### 2. Scope of Claims

#### A method of laser beam irradiation comprising:

while rotating a semiconductor substrate around a straight line that
passes a point on a main surface of the semiconductor substrate and is perpendicular to
the main surface, moving a laser beam or the semiconductor substrate such that the laser
beam shines through a straight line that is above the main surface of the semiconductor
substrate and that passes through a point on the main surface.

## 35 3. Detailed Description of the Invention

The present invention relates to a method of irradiating a semiconductor

10

15

20

25

30

35

substrate surface with a laser beam.

When uniformly heat treating a semiconductor substrate surface using a laser beam, since the diameter of the laser beam is extremely small compared to the semiconductor substrate, a method is used in which the laser beam is shone sequentially over the whole surface of the substrate. FIG 1 shows a conventional irradiation method. 1 is a laser oscillator, 2 and 3 are reflector plates which can rotate around a central axis, 4 is a semiconductor substrate, and 5 is a laser beam. Irradiation is conducted with the semiconductor substrate fixed on a flat surface, and positioning of the laser beam in an x direction and a y direction over the semiconductor substrate is conducted by changing the rotation angle of the reflector plate 2 or the reflector plate 3.

In the above method, the laser beam is shone linearly over the semiconductor substrate, and processing capacity is limited because changing the direction of movement of the irradiating beam at a turnaround point of the laser beam requires a significant amount of time. Moreover, in order to heat treat the whole surface of the substrate uniformly, it is necessary to provide turnaround points of the laser beam outside the substrate surface rather than inside the substrate surface, and therefore time is lost irradiating excess sections.

As a different irradiation method to the above method, there is a method in which a beam is fixed, and the substrate is moved rectilinearly in an x direction and in a y direction. However, in this method, the speed of the turning rectilinear movement is limited. Further, this method has the drawback that a rotation angle control device of the reflector plates is extremely complicated. The rotation angle control device of the reflector plates is used for changing irradiation pitch in accordance with changes in beam diameter in order to change irradiation energy density, changing movement speed, and the like.

The present invention provides a rotation irradiation method which can climinate the drawbacks of the conventional linear irradiation methods above. Specifically, in the present invention, while a semiconductor substrate is rotated around a straight line that passes a point on a main surface of the semiconductor substrate and is perpendicular to the main surface, a laser beam or the semiconductor substrate is moved such that the laser beam shines through a straight line that is above the main surface of the semiconductor substrate and that passes through a point on the main surface. Thereby, high speed, uniform laser irradiation is conducted.

Next, the invention will be explained according to embodiments.

FIG 2 shows an embodiment of the present invention. In FIG 2, 11 is a laser oscillator, 12 is a rotating reflector plate, 15 is a laser beam, 13 is a substrate, 14 is a sample stand, and 16 is a rotary motor. The laser beam 15 irradiates the substrate 13

10

15

20

25

30

35

through the reflector plate 12. At that time, the whole of the substrate 13 is irradiated by gradually changing the angle of the reflector plate 12 while rotating the fixed rotary motor 16.

In this method, since the substrate is rotated by the rotary motor 16, the movement rate can be made sufficiently high, and rotation of the reflector plate 12 can be made relatively slow. In order to maintain irradiation energy density at a fixed level, it is necessary to change the rotation speed in accordance with the distance of the laser beam spot from the center of the substrate, to change the movement of the linear direction of the laser beam, and to change the laser beam energy. However, these changes can each be dealt with by a change which is in proportion to the distance of the laser beam spot from the center of the substrate, so control is simple.

In addition, it is also possible to change the irradiation energy density to a desired value just by controlling the rotation of the rotary motor 16.

Further, rectilinear movement by the reflector plate 12 is sufficient at a much slower speed than in the case of conventional examples, so uniform irradiation can be easily obtained. In FIG. 3, 21 is a laser oscillator, 22 is a rectilinear movement reflector plate, 27 is a reflector plate movement stand, 23 is a substrate, 24 is a sample rotation stand, and 26 is a rotary motor.

Similarly to Embodiment 1, this embodiment is an irradiation method in which the irradiation position of a laser beam 25 is changed while a substrate 23 is rotated. However, due to a structure in which the reflector plate 22 moves linearly along the fixed stand 27, the angle of incidence of the laser beam 25 with respect to the substrate 23 is maintained at a constant value, and thus, more uniform irradiation is possible than in the embodiment shown in FIG 2.

FIG. 4 shows yet another embodiment. In FIG. 4, 31 is a laser oscillator, 32 is a rotating reflector plate, 33 is a substrate, 34 is a rotating sample stand, 35 is a laser beam, and 36 is a rotary motor. The rotary motor 36, the rotating sample stand 34, and the substrate 33 can move rectilinearly as a unit.

In this embodiment, the laser beam 35 is not moved, and while the substrate 33 is rotated, the substrate 33 is moved as a unit with the rotary motor. Thus, the whole substrate is scanned.

As described above, the irradiation method of the present invention has advantageous effects such as the fact that control of each parameter such as movement speed, irradiation pitch, and laser energy is simple, the fact that a uniform heat treatment can be realized at high speed, and the fact that the structure is simple.

#### 4. Brief Description of the Drawings

FIG 1 is a schematic diagram of a conventional laser beam irradiation method, FIG 2 is an explanatory diagram of an embodiment of the present invention,

- FIG. 3 is an explanatory diagram of another embodiment of the present invention, and
- 5 FIG. 4 is an explanatory diagram of yet another embodiment of the present invention.
  - 1, 11, 21, 31: Laser oscillators. 2, 3, 12, 22, 32: Reflector plates that can rotate or move rectilinearly. 4, 13, 23, 33: Semiconductor substrates. 14, 24, 34: Sample stands. 5, 15, 25, 35: Laser beams. 16, 26, 36: Rotary motors.

Names of Agents: Toshio NAKAO, Patent Attorney, and one other Patent Attorney.

\*\*\*\*\*\*\*\*\*\*\* RX REPORT \*\*\*\*\*\*\*\*\*\*\*\*

#### RECEPTION OK

TX/RX NO

6977

RECIPIENT ADDRESS

866 741 0075

DESTINATION ID

ST. TIME

07/03 14:58

TIME USE

01'37

PGS.

10 OK

RESULT

PTO/SB/97 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

## Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

Date

Date

Date

Date

Chan Holing For:
Signature

Typed or printed name of person signing Certificate

Typed or printed name of person Signing Certificate

Typed or Park (148 LGE)

Registration Number, if applicable

Telephone Number

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

must identify each submitted paper.

Petition to Withdraw Application from Issue Under 37 CFL 1.313(c)

PRIE chansaital

Tapanese Publication No. 2002-142133

Tapanese Publication No. 2002-142133

Tapanese Publication No. 2000-15802

Tapanese Publication No. 2003-15802

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Docket No.: 6161.0106.US

Jin-Hyun CHOI, et al.

Group Art Unit: 2629

Serial No.: 10/734,674

**О**.О.Б.

Confirmation No.: 6272

Examiner: OSORIO, Ricardo

Filed: December 15, 2003

For: IMAGE DISPLAY APPARATUS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# PETITION TO WITHDRAW APPLICATION FROM ISSUE UNDER 37 CFR 1.313(c)

Sir:

Applicants hereby petition to withdraw the above referenced application from issue so that the attached Request for Continued Examination and Information Disclosure Statement may be considered. Withdrawal from issuance is necessary so that the references noted in the Information Disclosure Statement may be considered.

Pursuant to the Issue Notification mailed June 27, 2007, this application was due to issue on July 17, 2007.

The petition fee will be paid by credit card (Form PTO-2038 is enclosed). The Director is hereby authorized to charge **Deposit Account No. 50-3698 (H.C. Park & Associates, PLC)** for any deficiencies in the petition fee.

Respectfully submitted,

/hae-chan park/

Hae-Chan Park Reg. No. 50,114

Date: July 3, 2007

**CUSTOMER NO. 58027** 

Tel: 703-288-5105 Fax: 703-288-5139

PTO-2038 (02-2003)

Approved for use through 02/28/2006. OMB 0651-0043

United States Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **United States Patent and Trademark Office**

Credit Card Payment Form
Please Read Instructions before Completing this Form

State Calabitation (1975) in discussion of State Calabitation (1975) in discussion of State Calabitation (1975)	Credit Card Information										
Credit Card Type:	☐ Visa ☐ MasterCard	×	American Express	Discover							
Credit Card Account #:	3712 745384 92002										
Credit Card Expiration Dat	e: 11/07										
Name as it Appears on Cre	edit Card: HAE-CHAN PARK										
Payment Amount: \$ (US D	ollars): 130.00			: 3							
Cardholder Signature:	n Cha fal		Date: July 3,	2007							
will not entitle a party to a refund and will not notify the payor of su card account to which the fee wa	00 service charge for processing each ( CFR § 1.21 (m)) .	mounts of a fee pa	of \$25.00 or less unless a re aid by credit card will be iss refused (including a check	efund is specifically requested, ued as a credit to the credit							
	Credit Card Bil	ling A	Address								
Street Address 1: 8500	Leesburg Pike	to a design de artes fra a chiesa des	from the decision are that has do the hardway place those part of the think of the decision and the decision and								
Street Address 2: Suite	7500										
City: Vienna											
State/Province: VA			Zip/Postal Code: 22	182							
Country: US				,							
Daytime Phone #: 703-2	88-5105		Fax #: 703-288-5	139							
Description of Request an	Request and Payment Information	ient l	nformation								
	aw from Issuance Fee										
Ľ Patent Fee	Patent Maintenance Fee	□ Tr	ademark Fee	Other Fee							
Application No. 10/734,674	Application No.	Applica	tion No.	IDON Customer No.							
Patent No.	Patent No.	Registr	ation No.								
Attorney Docket No.		ldentify	or Describe Mark								

If the cardholder includes a credit card number on any form or document other than the Credit Card Payment Form, the United States Patent and Trademark Office will not be liable in the event that the credit card number becomes public knowledge.

PTO/SB/30EFS (05/07)
Approved for use through 09/30/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	REQU	JEST FC	R CONTINUE (Submitte	D EXAMINATION OF THE COMMENT OF THE	N(RCE)TRANSMITTAL -Web)	•	
Application Number	10/734,674	Filing Date	2003-12-15	Docket Number (if applicable)	6161.0106.US	Art Unit	2629
First Named	Jin-Hyun CHOI	<u> </u>		Examiner Name	OSORIO, Ricardo		
Danish And C	antiqued Eversing	ition (RCE) on. The Ins	practice under 37 the truction Sheet for the	his form is located at \		ation filed	i prior to June 8,
		_		QUIRED UNDER 3		II be este	ared in the order
in which they	were filed unless : cant must request	applicant in: t non-entry	structs otherwise. If of such amendmen	r applicant does not w t(s).	ments enclosed with the RCE wish to have any previously filed to	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	• • • • • • • • • • • • • • • • • • • •
Previousl submission	y submitted. If a fi on even if this box	nal Office a is not chec	ction is outstanding ked.	, any amendments file	ed after the final Office action m	ay be co	nsidered as a
ca	onsider the argum	ents in the A	Appeal Brief or Rep	ly Brief previously file	d on		
. 🗆 Ot	her						
★ Enclosed	ı ·			•			
A	mendment/Reply						
⊠ lint	formation Disclosu	ure Stateme	ent (IDS)				
☐ Af	fidavit(s)/ Declara	tion(s)					
⋉∘	ther <u>PETITION</u>	TO WITHE	PRAW APPLICATION	ON FROM ISSUE UNI	DER 37 CFR 1.313(c)		
			М	ISCELLANEOUS			
Suspens (Period	sion of action on the of suspension sha	ne above-id all not exce	entified application ed 3 months; Fee u	is requested under 37 nder 37 CFR 1.17(i) r	CFR 1.103(c) for a period of nequired)	nonths -	
Other _							
				FEES			
X The Dir	ector is hereby au	FR 1.17(e) thorized to 03698	is required by 37 charge any underp	CFR 1.114 when the ayment of fees, or cre	RCE is filed. edit any overpayments, to		
		SIGNATU	IRE OF APPLICA	NT, ATTORNEY, C	R AGENT REQUIRED		
	t Practitioner Sig cant Signature	nature	·				·

PTO/SB/30EFS (05/07)
Approved for use through 09/30/2007. OMB 0651-0031
U.S. Petent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Signature of Registered U.	S. Patent Practitioner	
Signature	/hae-chan park/	Date (YYYY-MM-DD)	2007-07-03
Name	Hae-Chan Park	Registration Number	50114

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO-2038 (02-2003)

Approved for use through 02/28/2006. OMB 0651-0043

United States Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### United States Patent and Trademark Office

Credit Card Payment Form
Please Read Instructions before Completing this Form

Credit Card Information										
Credit Card Type:	☐ Visa ☐ MasterCar	d 🗵	American Express	☐ Discover						
Credit Card Account #:	Credit Card Account #: 3712 745384 92002									
Credit Card Expiration Dat	e: 11/07									
Name as it Appears on Credit Card: HAE-CHAN PARK										
Payment Amount: \$ (US D	Payment Amount: \$ (US Dollars): 790.00									
Cardholder Signature:	TIMETINALISTA									
Refund Policy: The Office may refund a fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee will not entitle a party to a refund of such fee. The office will not refund amounts of \$25.00 or less unless a refund is specifically requested, and will not notlify the payor of such amounts (37 CFR § 1.26). Refund of a fee paid by credit card will be issued as a credit to the credit card account to which the fee was charged.  Service Charge: There is a \$50.00 service charge for processing each payment refused (including a check returned "unpaid") or charged back by a financial institution (37 CFR § 1.21 (m)).										
	Credit Card Bi	lling /	Address							
Street Address 1: 8500	Street Address 1: 8500 Leesburg Pike									
Street Address 2: Suite	7500									
City: Vienna										
State/Province: VA			Zip/Postal Code: 22	182						
Country: US		***************************************								
Daytime Phone #: 703-2	88-5105		Fax #: 703-288-5139							
Description of Request and	•	nent l	nformation							
Patent Fee	Patent Maintenance Fee	□Tr	ademark Fee	Other Fee						
Application No. 10/734 <sub>.</sub> , 674	Application No. IDON Customer No.									
Patent No.	Patent No. Registration No.									
Identify or Describe Mark 6161.0106.US										

If the cardholder includes a credit card number on any form or document other than the Credit Card Payment Form, the United States Patent and Trademark Office will not be liable in the event that the credit card number becomes public knowledge.

PTO/SB/08a (05-07)
Approved for use through 08/30/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

10734674

2003-12-15

Jin-Hyun CHOI

2629

OSORIO, Ricardo

U.S. Parent and Trademark Office; U.S. DEFARTMENT OF Commerce U.S. Parent and Trademark Office; U.S. DEFARTMENT OF Commerce U.S. Parent and Trademark Office; U.S. DEFARTMENT OF Commerce U.S. Parent and Trademark Office; U.S. DEFARTMENT OF Commerce U.S. Parent and Trademark Office; U.S. DEFARTMENT OF Commerce U.S. Parent and Trademark Office; U.S. DEFARTMENT OF Commerce U.S. Parent and Trademark Office; U.S. DEFARTMENT OF Commerce U.S. Parent and Trademark Office; U.S. DEFARTMENT OF Commerce U.S. Parent and Trademark Office; U.S. DEFARTMENT OF Commerce U.S. Parent and Trademark Office; U.S. DEFARTMENT OF Commerce U.S. Parent and Trademark Office; U.S. DEFARTMENT OF Commerce U.S. Parent and Trademark Office; U.S. DEFARTMENT OF Commerce U.S. DEFARTMEN

**Application Number** 

First Named Inventor

Examiner Name

Filing Date

Art Unit

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

				Attorne	y Dock	et Number	6161.0106.US			
					U.S.P	ATENTS				
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>			Name of Patentee or Applicant		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1									
If you wish	h to ac	ld additional U.S. Pater	nt citatio	n informa	ation pl	ease click the A	Add button.			
			U.S.P	ATENT	APPLIC	CATION PUBL	ICATIONS	r		
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date		Name of Patentee or Applicant of cited Document		Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear		
	1									
If you wis	h to a	dd additional U.S. Publi	ished A	pplication	citatio	n information p	please click the Ac	ld butto	on.	
				FOREIG	GN PA	TENT DOCUM	ENTS			
Examine Initial*	Cite No	o i o o o o o		Kind Code	Publication Date	Name of Patente Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5	
	1	2002-333858	JP			2002-11-22	Sharp Corp			
	2	2002-116728	JP		,	2002-04-19	Matsushita Electri Co Ltd	c Ind-		
	3	11-305734	JP	•		1999-11-05	Hitachi Ltd			

						Application Number			10734674				
INFORMATION DISCLOSURE					Filing	Date			2003-12-15				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)				First I	Named	Inventor	Jin-H	iyun CHOI					
				Art U	nit			2629	•				
( Not for	subm	ISSION	under 37 CFR	1.99)	Exam	iner Na	me	oso	RIO, Ricardo				
					Attorr	ney Doc	ket Numb	er	6161.0106.US				
			***		<u> </u>								
	4	2000-	115802	JP			2000-04-2	:1	Fujitsu General Ltd				
***************************************	5	2002-	142133	JP			2002-05-1	7 .	Sanyo Electric Co Ltd				
If you wis	h to a	dd add	itional Foreign F	atent Do	cument	citation	information	on ple	ase click the Add buttor	n			
				NON	-PATE	NT LITE	ERATURE	DOC	UMENTS				
Examiner Initials*	Cite No	(book	de name of the a k, magazine, jou sher, city and/or	rnal, seria	al, symp	oosium,	catalog, e	e of th	ne article (when appropate, pages(s), volume-is	riate), title of the item ssue number(s),	T5		
	1			•									
If you wis	h to a	dd add	itional non-pater	nt literatur	re docu	ment ci	tation info	matio	n please click the Add I	button			
					E>	CAMINE	R SIGNA	TURE					
Examiner	Signa	ature							Date Considered				
*EXAMIN citation if	ER: In	nitial if i	reference considerated and not	dered, wh considere	ether o	r not cit ude cor	ation is in by of this fo	confo	rmance with MPEP 609 ith next communication	. Draw line through a to applicant.			
			· · · · · · · · · · · · · · · · · · ·										

<sup>&</sup>lt;sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

INFORMATION	DISCLOSURE
STATEMENT E	BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10734674				
Filing Date		2003-12-15				
First Named Inventor Jin-Hyun CHOI						
Art Unit	- <del></del>	2629				
Examiner Name	OSORIO, Ricardo					
Attorney Docket Number		6161.0106.US				

CERTIFICATION STATEMENT										
Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):										
That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).										
OR										
That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).										
See attached cer	rtification statement.									
Fee set forth in 3	7 CFR 1.17 (p) has been submitted herewith									
SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.										
nature	/hae-chan park/	Date (YYYY-MM-DD)	2007-07-03							
ne/Print	Hae-Chan Park	Registration Number	50,114							
	That each item of from a foreign prinformation discless the foreign patent of after making rear any individual distancement. See 3	That each item of information contained in the information of from a foreign patent office in a counterpart foreign application information disclosure statement. See 37 CFR 1.97(e)(1).  That no item of information contained in the information disforeign patent office in a counterpart foreign application, and after making reasonable inquiry, no item of information contained in information contained in 37 CFR 1.56(c) more than three statement. See 37 CFR 1.97(e)(2).  See attached certification statement.  Fee set forth in 37 CFR 1.17 (p) has been submitted herewith None  SIGNAT ignature of the applicant or representative is required in accordance of the signature.	That each item of information contained in the information disclosure statement was a from a foreign patent office in a counterpart foreign application not more than three information disclosure statement. See 37 CFR 1.97(e)(1).  That no item of information contained in the information disclosure statement was of foreign patent office in a counterpart foreign application, and, to the knowledge of the after making reasonable inquiry, no item of information contained in the information disclosure statement was continuously individual designated in 37 CFR 1.56(c) more than three months prior to the filling statement. See 37 CFR 1.97(e)(2).  See attached certification statement.  Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.  None  SIGNATURE  ignature of the applicant or representative is required in accordance with CFR 1.33, 10.18 and the signature.  Intuitive //hae-chan park/  Date (YYYY-MM-DD)							

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08a (05-07) Approved for use through 09/30/2007, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**FAX RECEIVED** 

10734674

2003-12-15

6161.0106.US

Jin-Hyun CHOI

2629

OSORIO, Ricardo

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**Application Number** 

First Named Inventor

**Examiner Name** 

Filing Date

Art Unit

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

				Attorne	y Dock	et Number	6161.0106.US	-OF	FICE OF PETITIONS		
										—,	
<u> </u>					U.S.P	ATENTS					
	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Da	Name of Patentee or Applicant of cited Document		Releva	,Columns,Lines where ant Passages or Releva s Appear	ınt		
	1		·								
If you wish	to ad	ld additional U.S. Pater	t citatio	n informa	ation ple	ease click the	Add button.				
			U.S.P	ATENT	APPLIC	ATION PUBL	ICATIONS	,			
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication		Name of Patentee or Applicant			Pages,Columns,Lines where Relevant Passages or Releva Figures Appear		
	1						·				
If you wis	h to a	l dd additional U.S. Publi	shed A	pplication	citation	n information p	lease click the Ac	ld butto	n.		
·	11 10 0			FOREIC	SN PAT	ENT DOCUM	ENTS				
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Count Code <sup>2</sup>		Kind Code⁴	Publication Date	Name of Patente Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	т5	
	1	2002-333858	JP			2002-11-22	Sharp Corp				
	2	2002-116728	JP			2002-04-19	Matsushita Electri Co Ltd	c Ind-			

1999-11-05

JP

Hitachi Ltd

EFS Web 2.0.1

3

11-305734

				Application Number				10734674				
INFORMATION DISCLOSURE			Filing	Date			2003-12-15					
				First N	Vamed	Inventor	Jin-H	yun CHOI	FAX I	REC	EIVED	
			BY APPLICA		Art Ur	nit		L	2629			
( Not for s	submi	ission	under 37 CFR 1	1.99)	Exam	iner Na	me	oso	RIO, Ricardo	JUL	0 3	2007
					Attorn	ey Doc	ket Numb	er <sub>.</sub>	6161.0106.US	OFFICE OF PETITIONS		
	<del></del>				1					UTTIVE	<del>UF FE</del>	<del>HHUNO</del>
							·					
	4	2000-	115802	JP			2000-04-2	1	Fujitsu General Ltd	.·		
	5	2002-	142133	JP			2002-05-1	7 5	Sanyo Electric Co Ltd			
If you wisl	n to ac	id add	litional Foreign Pa	atent Doo	cument	citation	information	n ple	ase click the Add buttor	1		
							RATURE				·····	
Examiner Initials*	Cite No	(bool	de name of the a k, magazine, jour sher, city and/or o	nal, seria	l, symp	osium,	catalog, e	e of th	ne article (when appropr ate, pages(s), volume-is	iate), title o sue numbe	f the ite	em T5
	1											
If you wisl	h to ac	id add	litional non-paten	t literatur	e docu	ment ci	tation infor	matio	n please click the Add t	outton		
······································					EX	AMINE	R SIGNA	TURE				
Examiner	Signa	ture							Date Considered			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									jh a·			
Standard ST  * Kind of doc	¹ See Kind Codes of USPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.											

EFS Web 2.0.1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number	-	10734674				
Filing Date		2003-12-15				
First Named Inventor	Jin-l	lyun CHOI	FAX RECEIVED			
Art Unit		2629	TAX TILOLIVLO			
Examiner Name	osc	ORIO, Ricardo	JUL <b>0 3</b> 2007			
Attorney Docket Numl	ber	6161.0106.US				
			OFFICE OF PETITIONS			

	CERTIFICATION STATEMENT										
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):										
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).										
OR	· }										
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).										
	See attached ce	tification statement.	•								
	Fee set forth in 3	7 CFR 1.17 (p) has been s	ubmitted herewith								
$\boxtimes$											
A s	SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.										
Sigi	2007-07-03										

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration Number

EFS Web 2.0.1

Name/Print

Hae-Chan Park

50,114